



APPENDICES

**APPLICABLE TO THE CUSTOMER TRADING
AGREEMENT**

Appendix No 1
Applicable to Customer Trading Agreement No _____

I. CLIENT INFORMATION	
1. Full name	
2. Date of Birth	3. Place of Birth
4. Citizenship	5. Passport/ID Card No
6. Date of Issue	7. Date of Expiry
8. Address:	
9. Zip code	10. City
11. State	12. Country
13. Marital status:	
14. Telephone number	
15. Mobile number	
II. INFORMATION DISTRIBUTION METHODS	
I declare that I wish to receive the information, which DELTASTOCK is obliged to provide to its clients via e-mail: _____ @ _____	
Other Information Distribution Methods	
<input type="checkbox"/> Post:	
<input type="checkbox"/> Fax:	
III. ASSESSMENT FOR AN APPROPRIATE SERVICE	
<input type="checkbox"/> I declare that I refuse to provide in part or in full the information requested by DELTASTOCK regarding my education, occupation, financial status, and trading knowledge and experience. I am informed that my refusal will lead to the inability of DELTASTOCK to fulfill its obligation to make an assessment for an appropriate service. <input type="checkbox"/> I declare that I am informed that DELTASTOCK will periodically request an update of the information provided in this Appendix that shall be sent to the address, e-mail or fax number stated under Section II. above. <input type="checkbox"/> I declare that I refuse to update the information requested by DELTASTOCK regarding my education, occupation, financial status and trading knowledge and experience.	
Declarant: _____ (signature)	
1. EDUCATION	
1. Education <input type="checkbox"/> Elementary <input type="checkbox"/> High School <input type="checkbox"/> University <input type="checkbox"/> Other _____	
2. Occupation	
3. Name of Employer	
4. Nature of business	
5. Do you have any additional education/training, which helps your better understanding of automated trading (including through the use of API and / or Script (including MT4 Expert System)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. INVESTMENT TARGETS	
What are your investment targets?	
- speculation	<input type="checkbox"/>
- hedging	<input type="checkbox"/>

3. FINANCIAL DETAILS

1. Total annual income (USD):	
2. Approximate value of your risk capital (USD), the loss of which should not adversely affect your own and family lifestyle:	
3. Sources of income (%)	
- Salary	_____ %
- Premiums and/or bonuses to a salary	_____ %
- Rent	_____ %
- Interests and/or dividends from investments	_____ %
- Other: _____ (please specify)	_____ %

4. EXPERIENCE WITH TRADING FINANCIAL INSTRUMENTS

Stocks and/or Bonds	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Contracts for differences (CFDs) on securities, indices and futures	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
CFDs on Currency, Currency options	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Other derivative instruments	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Group Investment Schemes	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Other	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Approximate number of transactions per month:		
Average amount of money involved in one transaction(USD):		

To be completed by an employee of DELTASTOCK:

According to the information provided in Section III and based on the assessment of DELTASTOCK, I am informed that the service I apply for:

is appropriate for me

is not appropriate for me

lacks sufficient information

cannot be assessed

By completing and signing this appendix:

1. I am informed and I hereby accept and agree to be classified by DELTASTOCK as retail client.
2. I am informed that I have the right to request a change in my classification to a “professional client” status, as well as that any such change will result in a lower level of protection.
3. I am informed and agree with DELTASTOCK’s Client Classification Policy.
4. I do wish / I do not wish to be classified as a “professional client”.
5. I am aware of my responsibility to inform DELTASTOCK for any changes in the information provided herein, which have an effect in my classification as retail or professional client.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 2

Applicable to Customer Trading Agreement No _____

SPECIFICATIONS OF THE CLIENT'S ACCOUNT

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

With this Appendix I hereby declare that I wish to:	<input type="checkbox"/> Open a new account <input type="checkbox"/> Change the specifications of an existing account ¹
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1	Open an account using the following Electronic Trading Platform:	<input type="checkbox"/> Delta Trading™ ² Account №: _____	<input type="checkbox"/> Deltastock MetaTrader 4 ³ Account №: _____
2	Choose ONE of the following base currencies for my account:	<input type="checkbox"/> BGN <input type="checkbox"/> USD <input type="checkbox"/> EUR	<input type="checkbox"/> GBP <input type="checkbox"/> CHF <input type="checkbox"/> RON <input type="checkbox"/> BGN <input type="checkbox"/> USD <input type="checkbox"/> EUR
3	Set(change) the margin for trading CFDs based on currencies to:	_____ % <i>in numbers</i> (_____ percent) <i>in words</i>	_____ <i>in numbers</i> (_____) <i>in words</i>
4	Activate the service:	<input type="checkbox"/> API with the Electronic Trading Platform Delta Trading™	<input type="checkbox"/> Script / Expert Advisor with the Electronic Trading Platform Deltastock MetaTrader 4

By signing this Appendix from the agreement, I explicitly declare that:

- I am aware of the risks and conditions associated with trading on margin.
- I am aware that in such cases as changes to the desired level of margin in my account and / or when using various Electronic Trading Platforms, as well as in the presence of open positions in diverse Financial Instruments, the level at which DELTASTOCK will take measures to automatically close my positions may be different for each case;
- I am aware of the articles in the applicable General Terms of Business related to the above cases;

By choosing to activate the API and / or Script/MT4 Expert Advisors, I explicitly declare that:

- I am familiar with the method of functioning (including Modifying) of the API and / or Script / MT4 Expert Advisors and the conditions under which my orders will be submitted and executed.
- the activation of API and / or Script/MT4 Expert Advisors and the Orders which will be submitted by them are based on my investment decisions for which DELTASTOCK will not bear any responsibility;
- all Orders I submit will be deemed as having been submitted through the use of API and / or Script/MT4 Expert Advisors and I accept full responsibility for the exact way those Orders have been submitted;
- I acknowledge that all Orders submitted through the use of API and / or Script/MT4 Expert Advisors will be executed as they are received by DELTASTOCK;
- I am familiar with and understand all the texts concerning the API and / or Script/MT4 Expert Advisors in the applicable General Terms of Business and in the agreement signed between me and DELTASTOCK.

¹ The CLIENT can change only the trading margin of an existing account. The made change shall take effect in the Electronic Trading Platform only if he/she does not have any open positions in his/her account.

² The margin percentage for Currency CFDs in Delta Trading™ can range between 0.50 % and 100.00 %, and should be a multiple of 0.50 %. For example: 0.50 %; 1.00 %; 1.50 %; 2.00 %; 2.50 % etc.

³ The margin rate for Currency CFDs in Deltastock MetaTrader4 can be ONE of the following: 1:200; 1:175; 1:150; 1:125; 1:100; 1:75; 1:66; 1:50; 1:33; 1:25; 1:20; 1:15; 1:10; 1:5; 1:3; 1:2 or 1:1.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 3

Applicable to Customer Trading Agreement No _____

DECLARATION

under Art. 4, para. 7 and Art. 6, Art. 5, para 3 of MAMLA

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

DECLARE THAT:

1. If during the execution of the Customer Trading Agreement No _____ from _____ there are any changes and/or amendments of the identification documents, I will promptly notify DELTASTOCK within a period of **seven days** and will provide the relevant documents to record the changes.
2. Under this declaration “changes of identification documents” will take into account changes and/or amendments that happened after the above mentioned Agreement has been signed, for the following data:
 - for retail clients – personal identity documents and permanent address;
 - for legal entities – company name and address, business activity, persons representing and managing the company, register number, tax number.
3. The amount of my initial deposit will be:

_____ (_____) _____,
in numbers *in words* *currency*

The funds I will deposit have the following source:

I am aware that a false declaration could render me liable to prosecution under the Bulgarian legislation.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 4

Applicable to Customer Trading Agreement No _____

DECLARATION

for a Politically Exposed Person and its related persons

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

HEREBY DECLARE THAT:

1. I am not / I am a person under Art. 5a of MAMLA, in connection with Art. 8a of RIMAMLA, including:
 - head of state, head of government, minister or deputy minister;
 - member of parliament;
 - member of a constitutional court, a supreme court or other high-level judicial body whose decisions are not subject to further appeal other than in exceptional circumstances;
 - member of a court of auditors;
 - member of the management board of a central bank;
 - ambassador or chargé d'affaires;
 - high-ranking officer in the armed forces;
 - member of the administrative, management or supervisory body of a state-owned enterprise;
 - mayor or deputy mayor of a municipality, mayor or deputy mayor of a region, or chairperson of a municipal council;
 - mid-level official or lower-level official.
2. I am not / I am a person related to a person within the scope of the preceding item, "related persons" being:
 - spouses or persons living in a non-marital cohabitation;
 - first-level descendants and their spouses or persons they live with in a non-marital cohabitation;
 - first-level ascendants;
 - any individual, who is known, or who can be assumed on the basis of publicly available information, to be the actual owner together with a person under Art. 2 of a legal entity, or has other close commercial, professional, or other business relations with a person under Art. 2;
 - any individual, who is the sole owner or actual owner of a legal entity, which is known, or which can be assumed on the basis of publicly available information, to have been established for the benefit of a person under Art. 2.
3. I am aware that in the event of a change in the above circumstances I must immediately submit a new declaration.
4. I am aware that a false declaration could render me liable to prosecution under the Bulgarian legislation.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 5
Applicable to Customer Trading Agreement No _____

DECLARATION

under Art. 6, para. 2 of MAMLA

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

in my capacity of legal representative (proxy) of: _____

entered into the register with _____

Hereby declare that the beneficial owner within the meaning of Art.6, Para.2 of MAMLA in connection with Art.3, Para. 5 of RIMAMLA of the aforementioned legal person is/are the following natural person(s):

1. _____
(first name, surname, family name)
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

2. _____
(first name, surname, family name)
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

3. _____
(first name, surname, family name)
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)



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