



APPENDICES

**APPLICABLE TO THE CUSTOMER TRADING
AGREEMENT**

Appendix No 1
Applicable to Customer Trading Agreement No _____

I. CLIENT INFORMATION	
1. Full name	
2. Date of Birth	3. Place of Birth
4. Citizenship	5. Passport/ID Card No
6. Date of Issue	7. Date of Expiry
8. Address:	
9. Zip code	10. City
11. State	12. Country
13. Marital status:	
14. Telephone number	
15. Mobile number	
II. INFORMATION DISTRIBUTION METHODS	
I declare that I wish to receive the information, which DELTASTOCK is obliged to provide to its clients via:	
<input type="checkbox"/> Post:	
<input type="checkbox"/> E-mail:	
<input type="checkbox"/> Fax:	
III. ASSESSMENT FOR AN APPROPRIATE SERVICE	
<input type="checkbox"/> I declare that I refuse to provide in part or in full the information requested by DELTASTOCK regarding my education, occupation, financial status, and trading knowledge and experience. I am informed that my refusal will lead to the inability of DELTASTOCK to fulfill its obligation to make an assessment for an appropriate service. <input type="checkbox"/> I am informed that DELTASTOCK will periodically request an update of the information provided in this Appendix that shall be sent to the address, e-mail or fax number stated under Section II. above. <input type="checkbox"/> I refuse to update the information requested by DELTASTOCK regarding my education, occupation, financial status and trading knowledge and experience.	
Declarant: _____ (signature)	
1. EDUCATION	
1. Education <input type="checkbox"/> Elementary <input type="checkbox"/> High School <input type="checkbox"/> University <input type="checkbox"/> Other _____	
2. Occupation	
3. Name of Employer	
4. Nature of business	
2. INVESTMENT TARGETS	
What are your investment targets?	
- speculation	<input type="checkbox"/>
- hedging	<input type="checkbox"/>
3. FINANCIAL DETAILS	
1. Total annual income (USD):	
2. Approximate value of your risk capital (USD), the loss of which should not adversely affect your own and family lifestyle:	

3. Sources of income (%)	
- Salary	_____ %
- Premiums and/or bonuses to a salary	_____ %
- Rent	_____ %
- Interests and/or dividends from investments	_____ %
- Other: _____ (please specify)	_____ %

4. EXPERIENCE WITH TRADING FINANCIAL INSTRUMENTS

Stocks and/or Bonds	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Contracts for differences (CFDs) on securities, indices and futures	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
CFDs on Currency, Currency options	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Other derivative instruments	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Group Investment Schemes	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Other	<input type="checkbox"/> none	<input type="checkbox"/> less than 1 year
	<input type="checkbox"/> from 1 to 3 years	<input type="checkbox"/> over 3 years
Approximate number of transactions per month:		
Average amount of money involved in one transaction(USD):		

To be completed by an employee of DELTASTOCK:

According to the information provided in Section III and based on the assessment of DELTASTOCK, I am informed that the service I apply for:

- | | |
|---|--|
| <input type="checkbox"/> is appropriate for me | <input type="checkbox"/> is not appropriate for me |
| <input type="checkbox"/> lacks sufficient information | <input type="checkbox"/> cannot be assessed |

By completing and signing this appendix:

- I am informed and I hereby accept and agree to be classified by DELTASTOCK as retail client.
- I am informed that I have the right to request a change in my classification to a “professional client” status, as well as that any such change will result in a lower level of protection.
- I am informed and agree with DELTASTOCK’s Client Classification Policy.
- I do wish / I do not wish to be classified as a “professional client”.
- I am aware of my responsibility to inform DELTASTOCK for any changes in the information provided herein, which have an effect in my classification as retail or professional client.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 2

Applicable to Customer Trading Agreement No _____

SPECIFICATIONS OF THE CLIENT'S ACCOUNT

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

1. Choose the following base currency for my account:

- | | |
|------------------------------|------------------------------|
| <input type="checkbox"/> USD | <input type="checkbox"/> JPY |
| <input type="checkbox"/> EUR | <input type="checkbox"/> BGN |
| <input type="checkbox"/> GBP | <input type="checkbox"/> RON |
| <input type="checkbox"/> CHF | |

2. Wish to set (change) the margin for trading in CFDs based on currencies to*:

_____ % (_____ percent)
in numbers *in words*

* The margin percentage for Currency CFDs can range between 0.50 % and 100.00 %, and should be a multiple of 0.50 %. For example: 0.50 %; 1.00 %; 1.50 %; 2.00 %; 2.50 % etc.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 3

Applicable to Customer Trading Agreement No _____

DECLARATION

under Art. 4, para. 7 and Art. 6, Art. 5, para 3 of MAMLA

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

DECLARE THAT:

1. If during the execution of the Customer Trading Agreement No _____ from _____ there are any changes and/or amendments of the identification documents, I will promptly notify DELTASTOCK within a period of **seven days** and will provide the relevant documents to record the changes.
2. Under this declaration “changes of identification documents” will take into account changes and/or amendments that happened after the above mentioned Agreement has been signed, for the following data:
 - for retail clients – personal identity documents and permanent address;
 - for legal entities – company name and address, business activity, persons representing and managing the company, register number, tax number.
3. The amount of my initial deposit will be:

_____ (_____) _____,
in numbers *in words* *currency*

The funds I will deposit have the following source:

I am aware that a false declaration could render me liable to prosecution under the Bulgarian legislation.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)

Appendix No 4

Applicable to Customer Trading Agreement No _____

DECLARATION

for a Politically Exposed Person and its related persons

I the undersigned _____
Date of Birth _____, Place of Birth _____, Citizenship _____,
Passport/ID Card No _____, Date of Issue _____, Date of Expiry _____,
Address _____,
Zip code _____, City _____, State _____,
Country _____

HEREBY DECLARE THAT:

1. I am not / I am a person under Art. 5a of MAMLA, in connection with Art. 8a of RIMAMLA, including:
 - head of state, head of government, minister or deputy minister;
 - member of parliament;
 - member of a constitutional court, a supreme court or other high-level judicial body whose decisions are not subject to further appeal other than in exceptional circumstances;
 - member of a court of auditors;
 - member of the management board of a central bank;
 - ambassador or chargé d'affaires;
 - high-ranking officer in the armed forces;
 - member of the administrative, management or supervisory body of a state-owned enterprise;
 - mayor or deputy mayor of a municipality, mayor or deputy mayor of a region, or chairperson of a municipal council;
 - mid-level official or lower-level official.
2. I am not / I am a person related to a person within the scope of the preceding item, "related persons" being:
 - spouses or persons living in a non-marital cohabitation;
 - first-level descendants and their spouses or persons they live with in a non-marital cohabitation;
 - first-level ascendants;
 - any individual, who is known, or who can be assumed on the basis of publicly available information, to be the actual owner together with a person under Art. 2 of a legal entity, or has other close commercial, professional, or other business relations with a person under Art. 2;
 - any individual, who is the sole owner or actual owner of a legal entity, which is known, or which can be assumed on the basis of publicly available information, to have been established for the benefit of a person under Art. 2.
3. I am aware that in the event of a change in the above circumstances I must immediately submit a new declaration.
4. I am aware that a false declaration could render me liable to prosecution under the Bulgarian legislation.

Date _____
(dd/mm/yyyy)

Declarant: _____
(signature)



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